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ATTORNEY'S DOCKET NO: H0659/7008WRM

COPY OF PAPERS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Steffen Leonardt et al
Serial No: 09/917,232
Filed: July 26, 2001
For: NON-INVASIVE METHOD FOR OPTIMIZING THE
RESPIRATION OF ATELECTATIC LUNGS
Examiner: unk.
Art Unit: 1635

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Commissioner for Patents, Washington, D.C. 20231, on the 6th day of November, 2001.

William R. McClellan
William R. McClellan, Reg. No. : 29,409

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Sir:

☒ The Applicant claims small entity status.

Transmitted herewith is/are the following document(s):

- ☒ Notice to File Missing Parts
- ☒ Declaration for Patent Application (two original signed copies)
- ☒ Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$561.00 is enclosed to cover the basic filing fee and the surcharge fee for a small entity. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully Submitted,

William R. McClellan
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Docket No. H0659/7008
November 6, 2001
572932.1



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/917,232	07/26/2001	Steffen Leonhardt	H0659/7008

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CONFIRMATION NO. 9197

FORMALITIES LETTER



OC00000006518448

Date Mailed: 09/06/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$240.
 - \$240 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1080.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE